IDENTIFICATION OF DEFECTS IN CARBON NANOTUBES

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Abstract
In the paper the eigenfrequencies of pristine and defective single walled carbon nanotubes are investigated. The defects are in the form of point vacancies. The axial vibrations of structures are studied only. A special attention is focused on the effects of material and geometrical properties of nanostructures on the results. Three different models are considered: the Euler beam model, a continuous specially orthotropic model and a 3D nonlinear finite element model consistent with molecular mechanics formulations. The results demonstrate that the Euler beam model overestimates the values of natural frequencies.

1 Introduction
In contrast to theoretical considerations the experimental verifications of the CNTs strength or Young's modulus demonstrate evidently discrepancies that may reach even up to 30% - see e.g. Mielke *et al.* [1]. Possible single or multiple defects in CNTs provide an explanation for the extant theoretical–experimental differences.

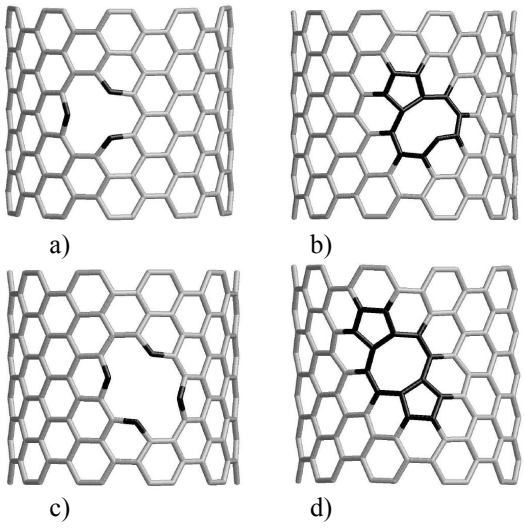

Figure 1. Atomic networks of SWNTs with non-reconstructed (a, c) and reconstructed (b, d) single (a,b), double (c,d). Only the front wall of each tube is shown. The configurations correspond to a (10,10) armchair SWNT.

However, this deterioration in the mechanical characteristics is partly alleviated by the ability of nanotubes to heal vacancies in the atomic network by saturating dangling bonds. The defects can appear at the stage of CNTs growth and purification, or later on during device or

composite production. Moreover, defects in CNTs can deliberately be created by chemical treatment or by irradiation to achieve the desired functionality. Therefore, possible defects in CNTs can be classified in the following manner: 1) point defects such as vacancies, 2) topological defects caused by forming pentagons and heptagons e.g. 5-7-7-5 defect – so-called Stone-Wales defects, 3) hybridization defects caused due to functionalisation. It is possible to consider single walled CNTs (SWCNTs) with a single vacancy (one atom removed), with a double vacancy (two adjacent atoms knocked out) and with a triple vacancy (three adjacent atoms missing), as depicted in Fig. 1. In what follows, these configurations will be referred to as non-reconstructed defects. In each tube the non-reconstructed double vacancy defects have two axially distinguishable orientations separated by 120 degrees (only one configuration is shown in Fig. 1). These atomic configurations are metastable but can survive for macroscopic times at low temperatures or when the atoms with dangling bonds are bonded to a surrounding medium, e.g., a polymer matrix.
In this study the axial vibrations of SWCNTs are analyzed only. The free vibrations are investigated with the use of three different models:

- the Euler beam model,
- the continuous specially orthotropic cylindrical shell model,
- the 3-D FE beam model based on the molecular dynamic and the interatomic potential formulations.

The numerical results are presented for one nanostructure configuration only, however, they can be easily extended for other form of structures. The lack of appropriate material properties is especially emphasized.

2. Free vibrations of pristine CNTs

A single-walled carbon nanotube can be defined as a hollow cylinder rolled from a graphene sheet. The nanotube, composed of carbon hexagons, is indexed by a pair of integers (n1, n2) to represent its helicity. The radius of the nanotube is calculated as:

$$R = a\sqrt{3\left(n1^2 + n2^2 + n1n2\right)}/(2\pi) \tag{1}$$

where a = 0.142 [nm] is the C–C bond length. One type of nanotubes, armchair (n1 = n2, i.e. R=0.0678*n1 [nm]) is studied in this paper.

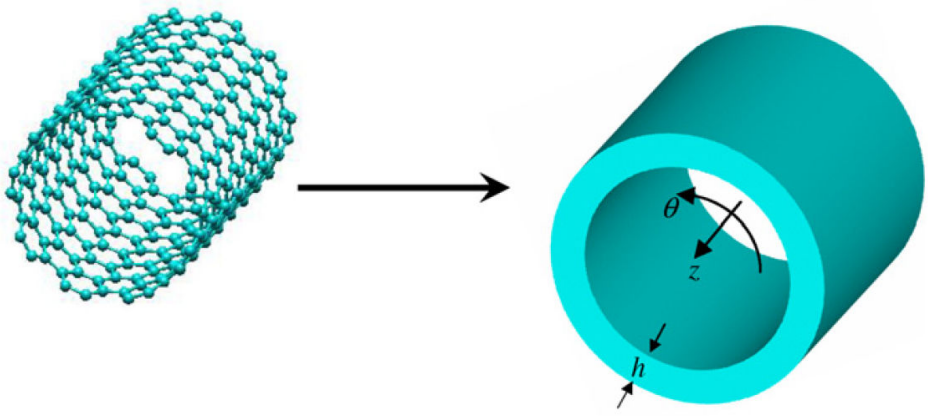

Figure 2. Cylindrical shell equivalent in mechanical response to a SWCNT.

In the literature it is demonstrated that for small radius of the nanotubes the buckling mode falls into the regime of Euler beam buckling (R<0.8 [nm]) and then with the increase of the radius the circumferential modes of buckling (i.e. for n>1) becomes to be dominant. Therefore

the analytical studies are limited to the axisymmetric buckling/vibration analysis only, i.e. n=0 and m>0. For simply supported cylindrical shells (Fig.2) made of a specially orthotropic material the eigenfrequencies can be easily derived in the analytical way using the Rayleigh-Ritz method as the roots of the following equation:

$$\psi^3 + b_0\psi^2 + c_0\psi - d_0 = 0 \tag{2}$$

where:

$$b_0 = -a_{11} - a_{22} - a_{33},\ c_0 = a_{11}a_{22} + a_{11}a_{33} + a_{22}a_{33} - a_{13}^2,\ d_0 = a_{11}a_{22}a_{33} - a_{22}a_{13}^2,\ a_{11} = \lambda_m^2,$$
$$a_{22} = \frac{A_{66}}{A_{11}}\lambda_m^2,\ a_{33} = \frac{A_{22}}{A_{11}} + \frac{h^2}{12R^2}\lambda_m^4,\ a_{13} = -\frac{A_{12}}{A_{11}}\lambda_m,\ \lambda_m = \frac{m\pi R}{L},\ \psi = \rho R^2 h\omega^2/A_{11} \tag{3}$$

ρ, R, h and L denote the nanotube density, radius, equivalent thickness and length, respectively, and m, n are wavenumbers in the longitudinal and circumferential directions. A_{ij} are the membrane stiffness matrix coefficients for specially orthotropic bodies. The roots of Eq. (2) can be represented as follows:

$$\psi_1 = a_{22},\ \psi_{2,3} = \frac{1}{2}\left(a_{11} + a_{33} \pm \sqrt{a_{11}^2 + 4\,a_{13}^2 - 2\,a_{11}\,a_{33} + a_{33}^2}\right) \tag{4}$$

If the carbon nanotube arrays are assumed to be transversely isotropic the material properties in the circumferential and thickness directions are identical. However, the twisted array SWCN is a helical array then, in fact, the nanotube does not possesses completely transversely isotropic properties. Therefore five material constants are necessary to characterize the CNT array behavior. Using micromechanical approach Popov et al [2] computed four of them, and Salvatat et al [3] gave the fifth material constants (G_{23}). The values of constants take the following form: E_1=580 [GPa], E_2=E_3=9.4 [GPa], ν_{12}= ν_{13}=0.18, ν_{23}=0.90, G_{12}=G_{13}=17.2 [GPa], G_{23}= 2.47 [GPa]. The direction denoted by "1' corresponds to the longitudinal one. In addition let us assume ρ=600 [kg/m^3] and L=29.5 [nm] .

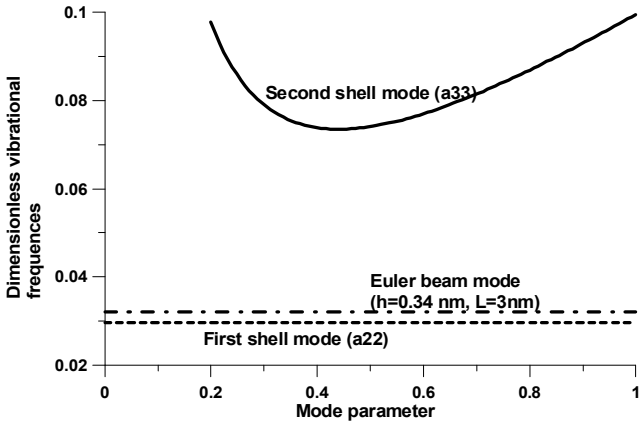

Figure 3. Comparison of vibrational frequencies for different models.

Thus, for ψ=1 the square root of the ratio $A_{11}/(\rho h R^2)$ is equal to 6.55 [THz] (n1=n2=5) and is the multiplier of natural frequencies – Eq. (3). As it may be seen the magnitude of natural

frequencies (THz) is in the range mentioned in the literature. For (5=n1,5=n2) carbon nanotubes the radius R=0.339 [nm] the parameter λ_m=0.0314*m and it is treated as negligibly small. Since all membrane stiffnesses A_{ii} are proportional to the thickness parameter h so that that constant can be omitted in the further considerations. Figure 3 demonstrates the comparison of the values of the frequencies described by Eqs (3) and the value corresponding to the Euler beam model which is given by the relation:

$$\psi_{Euler} = \left(m\pi \frac{h}{L} \right)^2 \lambda_m^2 \tag{5}$$

In Fig.3 the x axis corresponds to the value λ_m^2 and the y axis represents the value ψ / λ_m^2. As it may be easily noticed from the relations (3) the first shell mode is constant and equal to a_{22} / λ_m^2, the second - a_{33} / λ_m^2 and the third (not plotted in Figure) is equal to a_{11} / λ_m^2 so that is equal to 1. It is necessary to emphasize that the above formulas are the approximations only for the second and the third modes but they are satisfactory for the present numerical data. In the second shell mode and in the Euler beam model the shell thickness h is equal to 0.34 [nm]. In the plot the first shell mode corresponds to the lowest frequency. It is worth to mention also that in the literature the frequencies are usually compared with values obtained with the use of the Euler beam model. However, the use of the shell model allows us to predict lower value but the relation between those values is strongly dependent on the assumed CNT length L. For higher values the Euler value can be lower than that corresponding to the application of the shell model.

3. Reconstruction of vacancies – evaluation of free vibrations

Now, the eigenfrequency analysis will be adopted to the estimations of free vibrations for defective nanotubes. The reconstruction of the defective structure can be modelled in two ways:

i) the new positions of the carbon atoms are derived in order to keep the form plotted in Figs 1.b and 1.d; in such a case the distances between C-C bonds are constant and equal to 1.42 [nm] should be shifted below the pristine shell structure;

ii) the new position are derived from the condition of the minimal energy for neighbourhood atoms; in such a situation it is necessary to introduce the interaction potential – see Muc [4-6].

In the present analysis the first simplest method is used. The key difference in the comparison with other works is that the former bear on the intrinsic material property (bond strength), whereas the proposed method relates to tube geometry. It should be noted that the present analysis relies a continuum representation of nanotubes. Since atomic scale kinematics is not considered, the analysis may tend to over predict the eigenfrequencies of structures.

Let us consider the defective carbon nanostructure as the space-frame structure where each of the C-C bonds is represented as a beam. The stiffness of the C-C bond is or variable but at the beginning of the deformation process it is equal to 1 [TPa]. Then, it is evaluated incrementally at each step of deformations with the aid of the Tersoff-Brenner potential. It is assumed that in the carbon nanostructure each carbon atom may react with the neighbourhood atoms only. As the atom moves from the equilibrium state the non-zero reaction force is computed as the first derivative of the potential. We restrict the motion of the two atoms to one dimension, along the line connecting them, so that the atoms can only move directly towards or away from one another. It is necessary to point out that the C-C bond stiffness is not equal to the stiffness moduli mentioned in the previous section since they characterize the properties of the whole nanotube shell.

The numerical space-frame model of carbon nanotubes is presented in Fig.4. One of the ends of the tube is simply supported, whereas at the second the symmetry conditions are imposed. The carbon nanotubes remain cylindrical until the critical eigenfrequency is reached at which point they deform in the longitudinal direction (i.e. n=0). The half of nanotubes is modeled only due to symmetric boundary conditions. The natural frequencies have been obtained with the use of the NISA FE package.

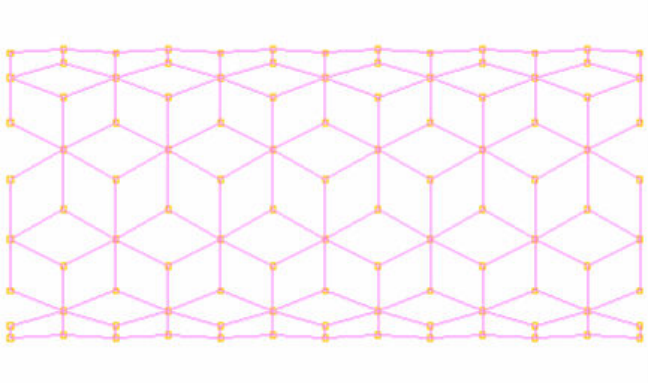

Figure 4. Numerical model of the (5,5) carbon nanotube

Figure 5 represents the differences in the first two eigenfreuquencies for defective and pristine carbon nanotubes derived with the use of the beam model. The analysis was carried out for two form of vacancies plotted in Fig.1 and two modes of eigen-frequencies corresponding to m=1 and m=2. The numerical model show the classical situation of the frequency decrease with the increase of the number of vacancies. The differences reaches almost 10%.

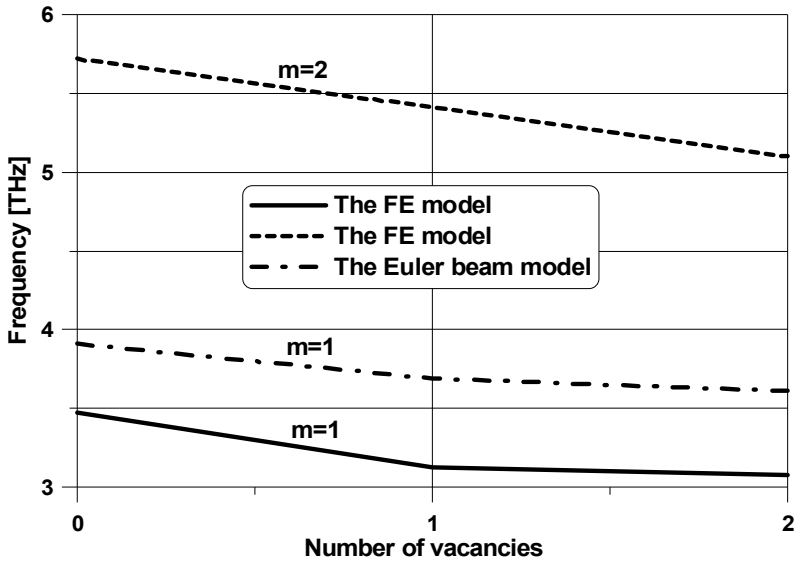

Figure 5. Dependence of frequency on the number of vacancies.

5. Concluding remarks

We have used three different models (the Euler beam model, the continuous shell model and the FE model) to study axial free vibrations of the configuration of a single-walled carbon nanotube (SWCNT) with and without vacancy defects. It is found that the best description of eigenfrequencies can be obtained with the use of the numerical FE model. However, that

model should be enriched by the appropriate material models taking into account transversely isotropic properties of nanostructures.
There is a significant difference in natural frequencies for the pristine and defective nanostructures. In view of that it is possible to use one of the most quantitative nondestructive testing (NDT) technique, ultrasonic NDT to distinguish defective SWCNTs. Today it has been much progress in instrument technology so that it will be possible to find testing techniques able to reveal anomalies in the material property.

Acknowledgment

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